

Atty. Dkt. No. 034536-0107

1626

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peng-Cho TANG et al.

Title: INDOLINONE COMBINATORIAL LIBRARIES AND RELATED PRODUCTS  
AND METHODS FOR THE TREATMENT OF DISEASE

Appl. No.: 09/897,755

Filing Date: 07/03/2001

Examiner: Sonya N. Wright

Art Unit: 1626

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

03/29/2004 CCHAU1 00000095 09897755

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**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

The documents listed on the attached PTO/SB/08 were cited as being relevant during the prosecution of the corresponding Hungarian application, P97021694/11. A copy of the Hungarian Search Report, and translation thereof, setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants respectfully request that the listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**FEE**

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

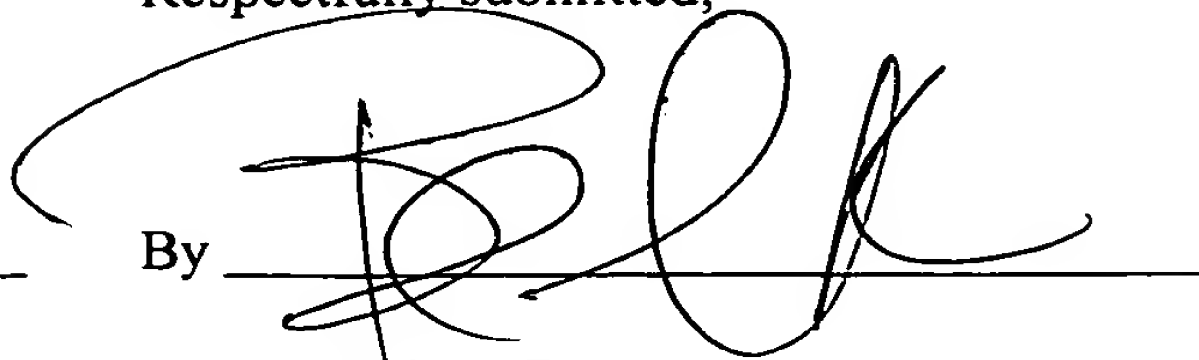
The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or

even entirely missing, the Commissioner is authorized to charge the unpaid amount to  
Deposit Account No. 19-0741.

Respectfully submitted,

Date 26 March 2004

By

A handwritten signature in black ink, appearing to read 'B. Burrous', written over a horizontal line.

FOLEY & LARDNER LLP

Customer Number: 22428

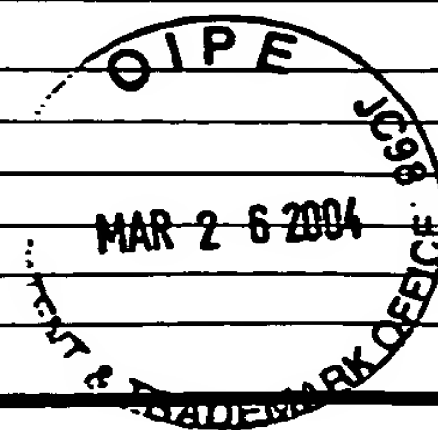
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>		
				Application Number	09/897,755	
Sheet		1	of	1	Filing Date	07/03/2001
					First Named Inventor	Peng Cho TANG
					Group Art Unit	1626
					Examiner Name	Sonya N. Wright
					Attorney Docket Number	034536-0107



FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A1	EP	0 252 713	B1	PFIZER INC.	09-12-1990		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>	
	A2	DESIMONI et al., "Catalysis with Inorganic Cations. V. <sup>1</sup> Intramolecular Hetero Diels-Alder <i>versus</i> Ene Reactions: Effect of Magnesium Perchlorate on Chemoselectivity," <u>Tetrahedron</u> , 1996, pp. 1200-12018. Vol. 52, No. 36, Elsevier Science Ltd.		
	A3	GIBSON et al., "Cleavage of Halogenobenzophenones by Potassamide in Ammonia; New Routes to Xanthen- and Thioxanthen-9-ones," <u>J.C.S. Perkin I</u> , 1975, pp. 155-160		
	A4	KULKA et al., " <i>t</i> -Butyl as a Blocking Group in the Synthesis of <i>o</i> -Hydroxybenzophenones," November 5, 1954, pp. 5469-5471		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.